

Financial Advice Provider Disclosure Statement

Amova Asset Management New Zealand Limited (Amova NZ) is a Financial Advice Provider (FAP) and holds a FAP licence issued by the Financial Markets Authority (FMA) in accordance with the Financial Markets Conduct Act 2013 to provide financial advice services in New Zealand. Our Financial Advice Service Provider Registration number is FSP22562.

This FAP Disclosure Statement provides important information about the financial advice that Amova NZ can provide to our customers. It should help you decide whether our financial advice service is appropriate for you.

Conditions of our Licence

Amova NZ may nominate employees with appropriate skills as Nominated Representatives (NR) who are able to give advice on behalf of Amova NZ.

Nature and Scope of our financial advice service

Amova NZ only provides financial advice services on:

- financial advice products
- switching funds within a managed investment scheme (including KiwiSaver)

The financial advice products that Amova NZ can provide advice on are limited to:

- The GoalsGetter KiwiSaver Scheme and the funds offered within that Scheme.
- The Amova NZ Investment Scheme and the funds offered within that Scheme.

We make every effort to ensure our product suite serves a broad range of different customer needs. We are trusted by a diverse range of large institutional clients that have invested with us for many years. You will be investing in the same types of funds as these clients.

Fees, expenses, or other amounts payable

Amova NZ does not charge customers a fee for financial advice services.

Conflicts of interest and commissions or other incentives

Amova NZ is only able to provide advice on its products. As we have a broad range of funds available within our offering, no customers will be disadvantaged through NRs being able to advise on Nikko products only.

Amova NZ, as manager of the funds in the Amova NZ KiwiSaver Scheme and Amova NZ Investment Scheme, receives management fees from money you invest into a fund.

Complaints process

If you have any issues or concerns with any services you received from Amova NZ or our Nominated Representatives you can contact us on 0800 303-308 or email us at nzenquiries@amova-am.com. You can also write to us at PO Box 3892, Shortland Street, Auckland 1140.

All complaints are reviewed by our client services team and are escalated if required.



Disputes resolution process

If you have made a complaint to us and it has not been resolved within 40 days or if you are dissatisfied with the proposed resolution, you can refer it to:

Financial Services Complaints Limited – a Financial Ombudsman Service

Level 4, 101 Lambton Quay, Wellington 6011

PO Box 5967, Wellington 6145

Freephone 0800 347 257 or 04 472-FSCL

www.fscl.org.nz

Financial Services Complaints Limited (FSCL) will not charge a fee to any complainant to investigate or resolve a complaint. Further information about referring a complaint to FSCL can be found at www.fscl.org.nz.

Duties information

Amova NZ and our Nominated Representatives have duties under the Financial Markets Conduct Act to:

1. meet the standards of competence, knowledge, and skill set out in the Code of Professional Conduct for Financial Advice Services; and
2. give priority to the client's interests; and
3. exercise care, diligence, and skill; and
4. meet the standards of ethical behaviour, conduct, and client care set out in the Code of Professional Conduct for Financial Advice Services.